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Attorney's Docket No.: 09857-030001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Martyn et al.  
Serial No. : 09/404,518  
Filed : September 23, 1999  
Title : MATCH-OFF OF ORDER FLOW IN ELECTRONIC MARKET SYSTEM

Art Unit : Unknown  
Examiner : Unknown

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 6/6/2000

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit June 1, 2000

Signature Marie G. Collins

Marie G. Collins  
Typed or Printed Name of Person Signing Certificate